On the Transfer Function of the Piecewise (Cylindrical) Model of the Vocal Tract

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Outline

- One-Dimensional Waveguide Acoustic Tube Model
- Transfer Function (1): cylinders and cones, non-polynomial form
- Cylindrical Sections
- Transfer Function (2): ratio of polynomials
 - Boundaries with scalar loss
 - Relationship to LPC
- Transfer Function (3):
 - Boundaries with frequency-dependent loss



Acoustic Tube with Varying Cross-Sectional Area

Radii sampled/measured at regular spatial intervals

allows for a piecewise approximation



Piecewise Waveguide Model



Two-Port Scattering Junction (1-D wave propagation) Volume velocity Pressure p_2^o U_2^o U_1^i $U_n^i =$ J_m J_m U_1 p_1 U_2 U_n^o p_1^o U_2^i U_1^o p_2^i

Characteristic wave impedance:

• **cone:** a complex function of frequency:

• **diverging**:

$$Z(l,\omega) = \frac{\rho c}{S} \cdot \frac{j\omega}{j\omega + c/l}$$
• **cylinder:** a scalar value:

$$Z(l,\omega) = \frac{\rho c}{S} \cdot \frac{j\omega}{j\omega + c/l} \xrightarrow{0} Z = \frac{\rho c}{S}$$

Two-Port Scattering Junction



The law for conservation of mass and momentum:

• **pressure** at junction equals pressure on each port

$$p_J = p_1 = p_2$$

 $U_{1} + U_{2} = 0$

$$p_1^i + p_1^o = p_2^i + p_2^o$$

• volume velocity on the each port sums to zeros

$$\frac{p_1^i}{Z_1} - \frac{p_1^o}{Z_1^*} = -\left(\frac{p_2^i}{Z_2} - \frac{p_2^o}{Z_2^*}\right)$$

$$U_1^i + U_2^o = -\left(U_2^i + U_2^o\right)$$

Relating Port Inputs and Outputs

System of equations:

$$p_1^i + p_1^o = p_2^i + p_2^o$$
$$\frac{p_1^i}{Z_1} - \frac{p_1^o}{Z_1^*} = -\left(\frac{p_2^i}{Z_2} - \frac{p_2^o}{Z_2^*}\right)$$

Matrix representation:

$$\mathbf{C} \begin{bmatrix} p_1^i \\ p_1^o \end{bmatrix} = \mathbf{D} \begin{bmatrix} p_2^i \\ p_2^o \end{bmatrix}$$

Pressure on either side of the junction:

$$\begin{bmatrix} p_1^i \\ p_1^o \end{bmatrix} = \begin{array}{c} \mathbf{C}^{-1} \mathbf{D} \begin{bmatrix} p_2^i \\ p_2^o \end{bmatrix}$$

$$\mathbf{C} = \begin{bmatrix} 1 & 1 \\ \frac{1}{Z_1} & -\frac{1}{Z_1^*} \end{bmatrix}$$
$$\mathbf{D} = \begin{bmatrix} 1 & 1 \\ -\frac{1}{Z_2} & \frac{1}{Z_2^*} \end{bmatrix}$$
$$\mathbf{C}^{-1} = \frac{1}{\frac{1}{Z_1^*} + \frac{1}{Z_1}} \begin{bmatrix} \frac{1}{Z_1^*} & 1 \\ \frac{1}{Z_1} & -1 \end{bmatrix}$$

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Port I/O and Right/Left Traveling Waves

 $\begin{bmatrix} p_1^i \\ p_1^o \end{bmatrix} = \mathbf{C}^{-1} \mathbf{D} \begin{bmatrix} p_2^i \\ p_2^o \end{bmatrix}$ $\begin{bmatrix} p_m^+ \\ p_m^- \end{bmatrix} \begin{bmatrix} \mathbf{p}_{m+1}^- \mathbf{z}^{-1} \\ p_{m+1}^+ \mathbf{z} \end{bmatrix}$ $\mathbf{P}_m \begin{bmatrix} 0 & z^{-1} \\ z & 0 \end{bmatrix} \begin{bmatrix} p_{m+1}^+ \\ p_{m+1}^- \end{bmatrix}$ \mathbf{P}_{m+1}



Scattering Matrix



"Chain" Scattering Matrix

Relationship between traveling waves in adjacent sections:

$$\mathbf{p}_{m} = \mathbf{A}_{m} \mathbf{p}_{m+1}$$

$$\mathbf{A}_{m+1} \mathbf{p}_{m+2}$$

$$\mathbf{A}_{m+2} \mathbf{p}_{m+3}$$
... and between first and final sections:
$$\mathbf{p}_{1} = \begin{pmatrix} M^{-1} \mathbf{A}_{m} \end{pmatrix} \mathbf{p}_{M}$$
"Chain" Scattering Matrix:
$$\mathbf{P}_{M-1} = \begin{bmatrix} P_{1,1} & P_{1,2} \\ P_{2,1} & P_{2,2} \end{bmatrix}$$

Toward the Transfer Function

The transfer function is the (spectral) ratio of the model **output** to the **input**:

$$H_L(z) = \frac{Y_L(z)}{X(z)} = \frac{p_M^+ T_L(z)}{X(z)}$$
 express as a function of p_M^+



The Model Input

Input as a function of traveling waves in the first section:

First section:



$$z p_1^+ = (R_0(z)p_1^- z^{-1} + X(z)) z^{-1} z$$
$$p_1^+ z = R_0(z)p_1^- z^{-1} + X(z)$$
$$X(z) = p_1^+ z - R_0(z)p_1^- z^{-1}$$

Next, express as a function of

traveling waves in the final section

Model Input

Input as a function of traveling waves in the **first** section:

Input as a function of traveling waves in the final section:

$$X(z) = \left(P_{1,1}p_{M}^{+} + P_{1,2}p_{M}^{-}\right)z - R_{0}(z)\left(P_{2,1}p_{M}^{+} + P_{2,2}p_{M}^{-}\right)z^{-1}$$

$$R_{L}(z)p_{M}^{+} \qquad R_{L}(z)p_{M}^{+}$$

Input as a function of **right** traveling wave in the **final** section:

$$X(z) = p_M^+ \left(P_{1,1} + P_{1,2} R_L(z) \right) z - R_0(z) p_M^+ \left(P_{2,1} + P_{2,2} R_L(z) \right) z^{-1}$$



Transfer Function (1): cone/cylinder, non-polynomial form

The transfer function is the (spectral) ratio of the model **output** to the **input**:

$$\begin{split} H_L(z) &= \frac{Y_L(z)}{X(z)} = \frac{p_M^+ T_L(z)}{X(z)} \\ &= \frac{p_M^+ T_L(z)}{p_M^+ (P_{1,1} + P_{1,2} R_L(z)) \, z - R_0(z) p_M^+ (P_{2,1} + P_{2,2} R_L(z)) \, z^{-1}} \times \frac{z^{-1}}{z^{-1}} \\ &= \frac{T_L(z) z^{-1}}{P_{1,1} + P_{1,2} R_L(z) - R_0(z) \, (P_{2,1} + P_{2,2} R_L(z)) \, z^{-2}} \end{split}$$



Junctions for Cylindrical Sections



Reflection Coefficients

$$\begin{pmatrix} \mathbf{C}^{-1}\mathbf{D} \end{pmatrix}_m = \frac{1}{2S_m} \begin{bmatrix} S_m - S_{m+1} & S_m + S_{m+1} \\ S_m + S_{m+1} & S_m - S_{m+1} \end{bmatrix}$$
$$= \frac{1}{1+k_m} \begin{bmatrix} k_m & 1 \\ 1 & k_m \end{bmatrix}$$

Scattering Matrix (cylinders):

$$\mathbf{A}_{m} = \left(\mathbf{C}^{-1}\mathbf{D}\right)_{m} \begin{bmatrix} 0 & z^{-1} \\ z & 0 \end{bmatrix} = \frac{1}{1+k_{m}} \begin{bmatrix} k_{m} & 1 \\ 1 & k_{m} \end{bmatrix} \begin{bmatrix} 0 & z^{-1} \\ z & 0 \end{bmatrix} = \frac{z}{1+k_{m}} \begin{bmatrix} 1 & k_{m}z^{-2} \\ k_{m} & z^{-2} \end{bmatrix}$$

Kelly-Lochbaum Scattering Junction



reflection coefficient
$$k_m = rac{S_m - S_{m+1}}{S_m + S_{m+1}}$$

"Chain" Scattering Matrix (N = M - 1 junctions)

$$\mathbf{A}_m = \frac{z}{1+k_m} \begin{bmatrix} 1 & k_m z^{-2} \\ k_m & z^{-2} \end{bmatrix}$$

$$\mathbf{P}_{N} = \prod_{m=1}^{N} \mathbf{A}_{m} = \frac{z}{1+k_{1}} \begin{bmatrix} 1 & k_{1}z^{-2} \\ k_{1} & z^{-2} \end{bmatrix} \frac{z}{1+k_{2}} \begin{bmatrix} 1 & k_{2}z^{-2} \\ k_{2} & z^{-2} \end{bmatrix} \cdots \frac{z}{1+k_{N}} \begin{bmatrix} 1 & k_{N}z^{-2} \\ k_{N} & z^{-2} \end{bmatrix}$$

$$= \frac{z^{N}}{\prod_{m=1}^{N} (1+k_{m})} \underbrace{\begin{bmatrix} K_{1,1} & K_{1,2} \\ K_{2,1} & K_{2,2} \end{bmatrix}}_{\mathbf{K}_{N}}$$

Right column:

coefficients in reverse order

Matrix elements are polynomials in
$$Z$$

 $K_{1,1} = c_0 + c_2 z^{-2} + \dots + c_{2(N-1)} z^{-2(N-1)}$
 $K_{2,1} = d_0 + d_2 z^{-2} + \dots + d_{2(N-1)} z^{-2(N-1)}$
 $K_{1,2} = d_{2(N-1)} z^{-2} + \dots + d_2 z^{-2(N-1)} + d_0 z^{-2N}$
 $K_{2,2} = c_{2(N-1)} z^{-2} + \dots + c_2 z^{-2(N-1)} + c_0 z^{-2N}$

Polynomial Coefficients

Coefficient vectors have the form:

$$\mathbf{c}_N = egin{bmatrix} c_0 \ 0 \ c_2 \ 0 \ dots \ c_{2(N-1)} \ 0 \end{bmatrix} \mathbf{d}_N = egin{bmatrix} d_0 \ 0 \ d_2 \ 0 \ dots \ 0 \ \ \ 0 \ \ 0 \ \ 0 \ \ 0 \ \ 0 \ \ 0 \ \ 0 \ \ \ 0 \ \ \ 0 \ \ 0 \ \ \ 0 \ \ \ \ 0 \ \ \ 0 \ \ \ \ 0 \ \ \ \ \ \ \ \ \ \ \ \ \$$

Initial coefficients:

$$c_0 = 1 \qquad d_0 = k_1$$

$$K_{1,1} = c_0 + c_2 z^{-2} + \dots + c_{2(N-1)} z^{-2(N-1)}$$

$$K_{2,1} = d_0 + d_2 z^{-2} + \dots + d_{2(N-1)} z^{-2(N-1)}$$

$$K_{1,2} = d_{2(N-1)} z^{-2} + \dots + d_2 z^{-2(N-1)} + d_0 z^{-2N}$$

$$K_{2,2} = c_{2(N-1)} z^{-2} + \dots + c_2 z^{-2(N-1)} + c_0 z^{-2N}$$

Coefficients are recursively defined:

Matrix elements are polynomials in z

$$\mathbf{c}_{N} = \begin{bmatrix} \mathbf{c}_{N-1} \\ 0 \\ 0 \end{bmatrix} + k_{N} \begin{bmatrix} 0 \\ \tilde{\mathbf{d}}_{N-1} \\ 0 \end{bmatrix}$$
$$\mathbf{d}_{N} = \begin{bmatrix} \mathbf{d}_{N-1} \\ 0 \\ 0 \end{bmatrix} + k_{N} \begin{bmatrix} 0 \\ \tilde{\mathbf{c}}_{N-1} \\ 0 \end{bmatrix}$$

~ denotes order of elements is reversed

Transfer Function (2): scalar boundaries

Recall first representation:

$$H_{L}(z) = \frac{Y_{L}(z)}{X(z)} = \underbrace{P_{1,1} + P_{1,2}R_{L}(z) - R_{0}(z)}_{X(z)} \underbrace{P_{2,1} + P_{2,2}R_{L}(z)}_{Z_{1} + P_{2,2}R_{L}(z)} z^{-2}$$

$$P_{N} = \begin{bmatrix} P_{1,1} & P_{1,2} \\ P_{2,1} & P_{2,2} \end{bmatrix}_{z^{-N}} \prod_{m=1}^{N} (1+k_{m}) \underbrace{\begin{bmatrix} K_{1,1} & K_{1,2} \\ K_{2,1} & K_{2,2} \end{bmatrix}}_{K_{N}}$$

$$H_{L}(z) = \underbrace{\frac{z^{-(N+1)} \prod_{m=1}^{N} (1+k_{m})}{K_{1,1} + K_{1,2}R_{L} - R_{0} (K_{2,1} + K_{2,2}R_{L}) z^{-2}}}_{A(z)} = \frac{B(z)}{A(z)}$$

Transfer Function (2): scalar boundaries

Denominator polynomial:

Coefficient (column) vector:

$$A(z) = a_0 z^{-0} + a_1 z^{-1} + \dots + a_{2(N+1)} z^{-2(N+1)} \qquad \mathbf{A}_N = \mathbf{C}_N \mathbf{R}$$

$$\mathbf{C}_N = \begin{bmatrix} \mathbf{c}_N & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{d}_N & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{d}_N & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{d}_N & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \\ \mathbf$$

Relationship to LPC

Transfer Function:

Difference Equation:

$$H_{L}(z) = \frac{Y_{L}(z)}{X(z)} = \frac{z^{-(N+1)} \prod_{m=1}^{N} (1+k_{m})}{1+\sum_{i=1}^{2(N+1)} a_{i} z^{-i}} = \frac{B(z)}{A(z)}$$

defined by coefficients vector \mathbf{A}_{N}
 $y(n) = \prod_{m=1}^{N} (1+k_{m}) x(n-(N+1)) - \sum_{i=1}^{2(N+1)} a_{i} y(n-i)$

Input impulse (unit step function): x(n) = u(n) = 1, 0, 0, 0, ...

Impulse Response:

$$h(n) = \begin{cases} 0, & \text{for } n < N+1 \\ \prod_{\substack{m=1\\2(N+1)\\-\sum_{i=1}^{2(N+1)} a_i h(n-i),} & \text{for } n = N+1. \end{cases}$$

Relationship to LPC

Coefficient vector \mathbf{A}_N is strongly related to the LPC coefficients estimated from the impulse response of $H_L(z)$.

Impulse Response:



Frequency-Dependent Lip Reflection



Reflection function at the lips:

$$R_{L}(z) = \frac{B_{L}(z)}{A_{L}(z)} = -\frac{(b_{L})_{0} + (b_{L})_{1}z^{-1} + (b_{L})_{2}z^{-2}}{1 + (a_{L})_{1}z^{-1} + (a_{L})_{2}z^{-2}}$$
$$\mathbf{B}_{L} = \begin{bmatrix} (b_{L})_{0} & (b_{L})_{1} & (b_{L})_{2} \end{bmatrix} \quad \text{lip reflection}$$
$$\mathbf{A}_{L} = \begin{bmatrix} (a_{L})_{0} & (a_{L})_{1} & (a_{L})_{2} \end{bmatrix} \quad \text{coefficient vectors}$$

Transmission function (amplitude complementary): $T_L(z) = 1 + R_L(z) = \frac{A_L(z) + B_L(z)}{A_L(z)}$

Transfer Function (3): frequency-dependent boundaries

Transfer function (2): scalar boundaries:

$$H_L(z) = \frac{z^{-(N+1)} \prod_{m=1}^N (1+k_m)}{K_{1,1} + K_{1,2} R_L - R_0 (K_{2,1} + K_{2,2} R_L) z^{-2}}$$

$$R_L(z) = rac{B_L(z)}{A_L(z)}$$

$$egin{aligned} T_L(z) &= 1 + R_L(z) \ &= rac{A_L(z) + B_L(z)}{A_L(z)} \end{aligned}$$

$$\hat{H}_{L}(z) = \frac{T_{L}(z)z^{-(N+1)}\prod_{m=1}^{N}(1+k_{m})}{K_{1,1}+K_{1,0}\sum_{A_{L}(z)}^{B_{L}(z)}-R_{0}\left(K_{2,1}+K_{2,0}\sum_{A_{L}(z)}^{B_{L}(z)}z^{-2}\right)} \times \frac{A_{L}(z)}{A_{L}(z)} = \frac{(A_{L}(z)+B_{L}(z))z^{-(N+1)}\prod_{m=1}^{N}(1+k_{m})}{K_{1,1}A_{L}(z)+K_{1,2}B_{L}(z)-R_{0}\left(K_{2,1}A_{L}(z)+K_{2,2}B_{L}(z)\right)z^{-2}} = \frac{\hat{B}(z)}{\hat{A}(z)}$$

 $A_{L}(z)$

Transfer Function (3): numerator polynomial

Transfer function (3):

$$\hat{H}_L(z) = \frac{(A_L(z) + B_L(z))z^{-(N+1)} \prod_{m=1}^N (1+k_m)}{K_{1,1}A_L(z) + K_{1,2}B_L(z) - R_0 \left(K_{2,1}A_L(z) + K_{2,2}B_L(z)\right)z^{-2}} = \frac{\hat{B}(z)}{\hat{A}(z)}$$

Numerator polynomial:

$$\hat{B}(z) = (A_L(z) + B_L(z)) z^{-(N+1)} \prod_{m=1}^{N} (1 + k_m)$$

$$= (b_0 + b_1 z^{-1} + b_2 z^{-2}) z^{-(N+1)} \prod_{m=1}^{N} (1 + k_m)$$

$$[b_0 \quad b_1 \quad b_2] = \mathbf{A}_L + \mathbf{B}_L$$

$$R_L(z) = \frac{B_L(z)}{A_L(z)} = -\frac{(b_L)_0 + (b_L)_1 z^{-1} + (b_L)_2 z^{-2}}{1 + (a_L)_1 z^{-1} + (a_L)_2 z^{-2}}$$
lip reflection coefficient vectors
$$\mathbf{B}_L = [(b_L)_0 \quad (b_L)_1 \quad (b_L)_2]$$

$$\mathbf{A}_L = [(a_L)_0 \quad (a_L)_1 \quad (a_L)_2]$$

numerator coefficient vector

Transfer Function (3): denominator polynomial

Transfer function (3):

$$\hat{H}_L(z) = \frac{(A_L(z) + B_L(z))z^{-(N+1)}\prod_{m=1}^N (1+k_m)}{K_{1,1}A_L(z) + K_{1,2}B_L(z) - R_0\left(K_{2,1}A_L(z) + K_{2,2}B_L(z)\right)z^{-2}} = \frac{\hat{B}(z)}{\hat{A}(z)}$$

Denominator polynomial:

$$\hat{A}(z) = K_{1,1}A_L(z) + K_{1,2}B_L(z) - R_0 (K_{2,1}A_L(z) + K_{2,2}B_L(z)) z^{-2}$$

$$= \hat{a}_0 z^{-0} + \hat{a}_1 z^{-1} + \dots + \hat{a}_{2(N+2)} z^{-2(N+2)}$$

$$\hat{A}_N = \begin{bmatrix} \hat{a}_0 & \hat{a}_1 & \hat{a}_2 & \dots & \hat{a}_{2(N+2)} \end{bmatrix} \quad \text{denominator coefficient vector (with frequency-dependent lip reflection)}$$
New boundary loss vector:
$$\hat{R}_n = \begin{bmatrix} (a_L)_n & (b_L)_n & -(a_L)_n R_0 & -(b_L)_n R_0 \end{bmatrix}^{\mathsf{T}}$$
holds coefficients for n^{th} -order terms of $R_L(z)$
Convolution of coefficients (matrix form):
$$\begin{bmatrix} \mathbf{C}_N & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{bmatrix} \quad \begin{bmatrix} \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{bmatrix}$$

$$\hat{\mathbf{A}}_{N} = \begin{bmatrix} \mathbf{C}_{N} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \hat{\mathbf{R}}_{0} + \begin{bmatrix} 0 & 0 & 0 & 0 \\ \mathbf{C}_{N} \\ 0 & 0 & 0 \end{bmatrix} \hat{\mathbf{R}}_{1} + \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \mathbf{C}_{N} \end{bmatrix} \hat{\mathbf{R}}_{2}, \qquad \qquad \mathbf{C}_{N} = \begin{bmatrix} \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} \\ 0 & \mathbf{A}_{N} & \mathbf{0} & \mathbf{0} \\ 0 & 0 & \mathbf{A}_{N} & \mathbf{0} \\ 0 & 0 & \mathbf{0} & \mathbf{c}_{N} \end{bmatrix}$$

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(thanks to Brad Story for audio and area functions)











Conclusions:

- Showed relationship between
 - piecewise-cylindrical waveguide model,
 - Kelly-Lochbaum scattering junctions reflection coefficients,
 - LPC.
- Showed how to incorporate a more accurate (higher-order, acoustically-informed) lip reflection filter into the vocal tract transfer function and feedback coefficients.

Future Work:

- Improved synthesis,
- Fit LPC coefficients to waveguide model,
- Improved inverse filtering,
- Estimation of $R_L(z)$ from LPC.